

Brown Wealth Management, LLC
Privacy Policy and Notice – 2024

This Privacy Policy and Notice (“Notice”) is being provided on behalf of Brown Wealth Management, LLC (“BWM”).

Purpose of This Notice

This Notice has been prepared to describe the types of information that we may collect from you, and safeguard sensitive information about our clients and those individuals (i.e. natural persons) authorized (“Authorized Individual(s)”) to act on their behalf.

Information We Collect

BWM may collect a variety of information from its clients and their Authorized Individuals. The following table outlines the most common types of such information.

Client Information	Authorized Individual Information
Full legal name, business address, certain corporate records, U.S. taxpayer ID#, designation of Authorized Individuals; etc.	Full legal name, residential address, social security number, taxpayer ID #, government-issued ID#, date of birth, etc. (i.e. “Personal Information”)

How we Use Collected Information

All financial companies generally need to share information it collects from its clients in order to carry out their everyday business. In the section below, we will identify the reasons we may share an Authorized Individual’s Personal Information; the reasons BWM chooses to share such information; and whether you can limit this sharing.

Reasons we can share Personal Information...	Does BWM share?	Can you limit this sharing?
For our everyday business purposes... such as to verify an Authorized Individual’s identity under federal anti-money laundering requirements; process transactions; respond to court orders and legal investigations; or report to credit bureaus	Yes	No
For our marketing purposes... to offer our products and services to you	Yes	No
For joint marketing with other financial companies...	No	N/A - We don’t share
For our affiliates’ everyday business purposes... information about your transactions and experiences	No	N/A – We don’t share
For our affiliates’ everyday business purposes... information about your creditworthiness	No	N/A – We don’t share
For our affiliates to market to you...	No	N/A – We don’t share
For non-affiliates to market to you...	No	N/A – We don’t share

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Other Important Information	
How does BWM protect my Personal Information?	To protect your personal information from unauthorized access and use, we use security measures that include computer safeguards; limitation of access to Personal Information; and secured files and buildings.
How does BWM collect my Personal Information?	We collect an Authorized Person's Personal Information, for example, during the initial engagement of BWM for the provision of investment management services which may involve the completion of an investment management agreement, client profile document/record, subscription agreement, investor questionnaire, etc. We may also collect Personal Information verbally either over the phone or during in-person meetings with Authorized Individuals. We may also collect Personal Information from other parties such as credit bureaus, affiliates or other companies.
Why can't I limit all sharing?	<p>Federal law gives a consumer (i.e. an Authorized Individual) the right to limit the sharing of your Personal Information in the following situations:</p> <ul style="list-style-type: none"> • sharing for an affiliates' everyday business purposes – the sharing of information about your creditworthiness; • affiliates from using your Personal Information to market to you; and • sharing for non-affiliates to market to you . <p>State laws may give you additional rights to limit sharing.</p>
How does BWM treat my Personal Information once I am no longer an Authorized Party?	BWM will share Personal Information about a former Authorized Party only if required to do so by law, rule, or regulation or to those parties who need such information in order to carry out any continued obligation with respect to the services covered by the former/current adviser/customer relationship.

Important Terms

Affiliates – Companies related by common ownership or control. They can be financial and non- financial companies. Our affiliates include the BWM companies and Investment Funds managed by BWM.

Non-affiliates – Companies not related by common ownership or control. They can be financial and non-financial companies. Non-affiliates we share with can include fund administrators, custodians, brokers, dealers, counterparties, auditors, legal advisors, regulators, and law enforcement agencies.

Joint marketing – A formal agreement between non-affiliated financial companies that together market financial products or services to you. BWM does not engage in joint marketing efforts.

Questions?

Always feel free to contact us with any questions. Call or email Andy Brown at (770) 918-0702 or info@brownwm.com.